



Attorney Docket No.: 0254-080

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.: 09/761,210

APPLICANT: Carmen Burns

FILING DATE: 01/16/2001

TITLE: Method of Manufacturing a Surface Mount Package

L. Nelson  
#2/IDS  
4-4-02

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is PTO Form 1449 (ten sheets) with references listed for consideration by the Office.

In accordance with 37 C.F.R. § 1.98(a), copies of the listed written references are being provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 4th day of March, 2002.

By:

Allanna O. Smith

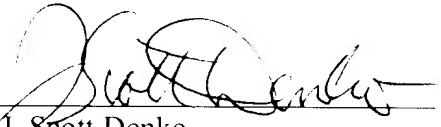
This Information Disclosure Statement is being filed before receipt of the first Office action, so no fee is believed to be due. The Commissioner is hereby authorized to charge any fees which may be required in connection with the filing, or to credit any overpayment to Deposit Account No. 501031, under Order No. 0254-080, upon which account the undersigned has the authority to sign.

**A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

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Date: Mar. 4, 2002

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Form PTO-2449 (Modified)  
(Rev. 11-92)

MAR 12 2002

U.S. Dept. of Commerce  
Patent and Trademark Office**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY. DOCKET NO.	SERIAL NO.
0254-080	09 761,210
APPLICANT	
Carmen D. Burns	
FILING DATE	GROUP
01 16 2001	3729

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
Cf	3,246,386	04 19 1966	Ende	29	155.5	01 26 1962
Cf	3,287,606	11 22 1966	Schwartz	317	101	12 16 1964
Cf	3,290,559	12 06 1966	Kirby	317	101	06 16 1964
Cf	3,313,986	04 11 1967	Kilby	317	101	12 23 1965
Cf	3,377,516	08 04 1966	Ellett	317	101	08 04 1966
Cf	3,403,300	09 24 1968	Horowitz, et al	317	101	09 01 1966
Gp	3,436,604	04 1969	Hyltin, et al	317	101	04 25 1966
Cf	3,515,949	06 02 1970	Michaels	317	101	11 22 1967

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
2-260448	10 1990	Japan			Yes	
3-167868A	07 19 1991	Japan			Yes	
3-96266	04 1991	Japan			Yes	
4-209562	07 30 1992	Japan			Yes	
57-31166A	02 19 1982	Japan			Yes	
58-112348A	04 07 1983	Japan			Yes	
58-219757A	12 21 1983	Japan			Yes	
58-96756A	08 06 1983	Japan			Yes	
60-160641	08 1985	Japan			Yes	
60-254762A	12 16 1985	Japan			Yes	
61-163652A	07 24 1986	Japan			Yes	
61-219143A	09 29 1986	Japan			Yes	
61-63048	04 1986	Japan			Yes	
61-75558A	04 17 1986	Japan			Yes	
62-230027A	08 10 1987	Japan			Yes	
63-117451A	05 21 1988	Japan			Yes	
63-153849A	06 27 1988	Japan			Yes	

**OTHER DOCUMENTS**

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Dense-Pac Microsystems, Inc., Catalog describing two products: DPS512X16A3 Ceramic 512KX16 CMOS SRAM Module, pp. 865-870

Dense-Pac Microsystems, Inc., "Memory Products-ShortForm-Q4," 1994, 5 pages.

Dense-Pac Microsystems, Inc., "Short Order Catalog," 1990, 12 pages.

Dense-Pac Microsystems, Inc., "Short Form Catalog," 1991, 20 pages.

Dense-Pac Microsystems, Inc., "3-D Technology," 1993, 15 pages

Dense-Pac Microsystems, Inc., 16-Megabit High Speed CMOS SRAM.

Dense-Pac Microsystems, Inc., 128-Megabyte SDRAM Sodimm

Dense-Pac Microsystems, Inc., 256-Megabyte CMOS DRAM.

Dense-Pac Microsystems, Inc. flyer "While others are still defining it... Our customers are cashing in!"

EXAMINER

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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)  
(Rev. 11-92)

MAR 12 2002

U.S. Dept. of Commerce  
Patent and Trademark OfficeINFORMATION & DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

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U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
CF	3,535,595	10 20 1970	Moore	317 101		11 09 1967
CF	3,614,541	10 19 1971	Farrand	317 101		04 08 1969
GA	3,614,546	10 1971	Avins	174 234		01 07 1970
GA	3,671,812	06 20 1972	Peluso, et al	317 118		07 01 1970
GA	3,713,893	01 1973	Shirland	136 89		02 17 1967
GA	3,727,064	04 1973	Bottini	250 217		03 17 1971
GA	3,739,462	06 19 1973	Hasty	29 577		01 06 1971
GA	3,746,934	07 17 1973	Stein	317 101		05 06 1971
GA	3,925,801	12 09 1975	Haitz, et al	357 19		12 09 1975
GA	3,949,274	04 06 1976	Anacker	317 101		05 30 1974
GA	3,959,579	05 25 1976	Johnson	174 68.5		08 19 1974
GA	4,017,963	04 19 1977	Beyerlein	29 577		05 19 1975
CP	4,103,318	07 25 1978	Schwede	361 388		07 25 1978
GA	4,116,518	09 26 1978	Pleskac	339 17		08 31 1977
GA	4,116,519	09 26 1978	Grabbe, et al	339 17		08 02 1977
GA	4,158,745	06 19 1979	Keller	174 52		10 27 1977
CF	4,241,493	12 30 1980	Andrulitis, et al	29 572		12 22 1978

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Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
834-957	05 30 1981	SU				

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(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Tuckerman, D.B. et al., "Laminated Memory: A New 3-Dimensional Packaging Technology for MCMs" article, nCHIP, Inc., IEEE, 1994.
1992 Proceedings, 42 <sup>nd</sup> Electronic Components & Technology Conference, May 18-20, 1992.
Research Disclosure, Organic Card Device Carrier, 31318, May 1990, No. 313.
"Introducing a Revolutionary 3 Dimensional Package Type - The SLCC," John Forthun, Advancement in Technology, Feb. 26, 1992, 12 pages.
"New levels of hybrid IC density are provided by Three-Dimensional Packaging" article, 2 pages.
3-D Integrated Packaging and Interconnect Technology, Wescon 90 Conference Record, held 13-15 Nov. 1990, Anaheim, CA.

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Form PTO-1449 (Modified) (Rev. 11-82)		U.S. Dept. of Commerce Patent and Trademark Office	ATTY. DOCKET NO.	SERIAL NO.
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			0254-080	09 761,210
(Use several sheets if necessary)		APPLICANT	Carmen D. Burns	
(37 CFR 1.98(b))		FILING DATE	GROUP	
		01 16 2001	3729	

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*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
Cja	4,288,808	09 08 1981	Hantusch	357 68		01 23 1979
Cja	4,288,841	09 08 1981	Gogal	361 414		09 20 1979
gja	4,321,418	03 23 1982	Dran, et al	136 251		05 08 1980
gja	4,331,258	05 1982	Geschwind	220 359		03 05 1981
Cja	4,364,620	12 21 1982	Mulholland, et al	339 17		09 05 1980
Cja	4,371,912	02 01 1983	Guzik	361 417		10 01 1980
gja	4,379,259	04 05 1983	Varadi, et al	324 73		03 12 1980
Cja	4,394,712	07 19 1983	Anthony	361 411		03/18 1981
gja	4,398,235	08 09 1983	Lutz, et al	361 393		09 11 1980
gja	4,437,235	03 20 1984	Melver	29 840		08 23/1982
Cja	4,451,973	06 05 1984	Tateno, et al	29 588		04/13 1982
gja	4,521,828	06 04 1985	Fanning	361 386		12/23/1982
Cja	4,525,921	07 02 1985	Carson, et al	29 577		07 25 1983
gja	4,571,663	02 18 1986	McPherson	361 393		06 13 1983
Cja	4,574,331	03 04 1986	Smolley	361 393		05 31 1983
Cja	4,630,172	12 16 1986	Stenerson, et al	361 386		03 09 1983
Cja	4,631,573	12 23 1986	Sutrina	357 82		05 24 1985
gja	4,633,573	01 06 1987	Scherer	29 589		05 23 1984
Cja	4,638,406	01 20 1987	Samson	361 403		04 14 1986

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS**  
(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	Christian VAI and Thierry Lenoir, 3d Interconnection for Ultra-Dense Multichip Modules, IEEE, pp. 540-547.
	Dean Frew, "High Density Memory Packaging Technology High Speed Imaging Applications," SPIE, vol. 1346 <i>Ultra-high-and High-Speed Photography, Videography, Photonics, and Velocimetry '90</i> , pp. 200-209.
	Alvin Weinburg and W. Kinzy Jones, "Vertically-Integrated Package," IEEE, pp. 436-443.

EXAMINER

DATE CONSIDERED

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Form PTO-144a (Modified)  
(Rev. 11-92)

MAR 12 2002

U.S. Dept. of Commerce  
Patent and Trademark OfficeINFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

ATTY/DOCKET NO.	SERIAL NO.
0254-080	09 761,210
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Carmen D. Burns	
FILING DATE	GROUP
01 16 2001	3729

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
go	4,638,348	01 20 1987	Brown, et al	357 74		08 08 1983
ga	4,642,735	02 10 1987	Hodsdon, et al	361 424		02 27 1984
ga	4,680,617	07 14 1987	Ross	357 72		05 23 1984
ga	4,684,975	08 04 1987	Takiar, et al	357 70		12 16 1985
ga	4,688,864	08 25 1987	Sorel	439 74		03 28 1986
ga	4,696,525	09 29 1987	Coller, et al	439 69		12 13 1985
ga	4,698,663	10 06 1987	Sugimoto, et al	357 81		12 03 1986
ga	4,706,166	11 10 1987	Go	361 403		04 25 1986
ga	4,712,129	12 08 1987	Orcutt	357 84		09 30 1985
go	4,722,060	01 26 1988	Quinn, et al	364 490		03 22 1984
go	4,733,461	03 29 1988	Nakano	29 830		10 24 1985
ga	4,761,681	08 02 1988	Reid	357 68		09 08 1982
ga	4,763,188	08 09 1988	Johnson	357 74		11 04 1987
ga	4,770,640	09 13 1988	Walter	439 69		06 24 1983
ga	4,796,078	01 03 1989	Phelps, Jr., et al	357 68		06 15 1987
ga	4,821,007	04 11 1989	Fields, et al	333 238		02 06 1987
ga	4,821,148	04 11 1989	Kobayashi, et al	361 392		06 04 1986
ga	4,823,234	04 18 1989	Konishi, et al	361 386		06 01 1986
ga	4,829,403	05 09 1989	Harding	361 386		01 20 1987

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

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(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	IBM Technical Disclosure Bulletin, vol. 20 No. 11A Apr. 1978.
	IBM Technical Disclosure Bulletin, vol. 23 No. 12 May 1981
	IBM Technical Disclosure Bulletin, vol. 32 No. 3B Aug. 1989.

EXAMINER	DATE CONSIDERED
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Form PTO-1449 (Modified) (Rev. 11-92)		U. S. Dept. of Commerce Patent and Trademark Office		ATTY DOCKET NO		SERIAL NO.	
<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p style="text-align: center;">(Use several sheets if necessary)</p> <p style="text-align: center;">(37 CFR 1.98(b))</p>				0254-080		09 761,210	
				APPLICANT		Carmen D. Burns	
				FILING DATE		GROUP	
				01 16 2001		3729	
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)	
gj	4,833,568	05 23 1989	Berhold	361 383		01 29 1988	
ga	4,839,717	06 13 1989	Phy, et al	357 74		06 07 1988	
gc	4,841,355	06 20 1989	Parks	357 82		02 10 1988	
gj	4,855,868	08 08 1989	Harding	361 386		07 20 1987	
ga	4,862,245	08 29 1989	Pashby, et al	357 70		02 19 1988	
ga	4,862,249	08 29 1989	Carlson	357 80		04 17 1987	
ga	4,868,712	09 19 1989	Woodman	361 388		10 27 1987	
ga	4,878,106	10 31 1989	Sachs	357 72		05 06 1988	
ga	4,884,237	11 28 1989	Mueller, et al	365 63		03 17 1989	
gc	4,891,789	01 02 1990	Quattrini, et al	365 63		03 03 1988	
ga	4,924,352	05 08 1990	Septfons	361 388		12/21/1988	
ga	4,948,645	08 14 1990	Holzinger, et al	428 40		08 01 1989	
ga	4,953,005	08 28 1990	Carlson, et al	357 80		04 15 1988	
ga	4,953,060	08 28 1990	Lauffer, et al	361 388		05 05 1989	
ga	4,956,694	09 11 1990	Eide	357 74		11 04 1988	
ga	4,994,411	02 19 1991	Naito, et al	437 209		03 10 1989	
ga	4,996,583	02 26 1991	Hatada	357 70		02 09 1990	
ga	4,996,587	02 26 1991	Hinrichsmeyer, et al	357 74		03 23 1990	
ga	4,997,517	03 15 1991	Parthasarathi	156 630		01 09 1990	
<b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>							
Document Number	Date	Country		Class	Subclass	Translation	
						Yes	No
<b>OTHER DOCUMENTS</b> (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
EXAMINER				DATE CONSIDERED			
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Form PTO-1449 (Modified) (Rev. 11-92)	U.S. Dept. of Commerce Patent and Trademark Office	ATTY. DOCKET NO.	SERIAL NO.
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>TRADEMARK OFFICE</small> <small>(Use several sheets if necessary)</small>		0254-080	09 761,210
		APPLICANT	
		Carmen D. Burns	
		FILING DATE	GROUP
(37 CFR 1.98(b))		01 16 2001	3729

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
ga	5,001,545	03 19 1991	Kalfus, et al	357 70		09 09 1988
ga	5,014,113	05 07 1991	Casto	357 70		12 27 1989
ga	5,016,138	05 14 1991	Woodman	361 381		09 18 1989
cpa	5,025,307	06 18 1991	Ueda, et al	357 80		03 29 1990
ga	5,031,072	07 09 1991	Malhi, et al	361 387		01 31 1990
cpa	5,041,015	08 20 1991	Travis	439 492		03 30 1990
cpa	5,041,395	08 20 1991	Steffen	437 206		04 05 1990
cpa	5,043,794	08 27 1991	Tai, et al	357 74		09 24 1990
ga	5,049,527	09 17 1991	Merrick, et al	437 220		06 09 1989
ga	5,057,903	10 15 1991	Olla	357 72		11 08 1990
ga	5,057,906	10 15 1991	Ishigami	357 80		05 18 1990
ga	5,058,265	10 22 1991	Goldfarb	29 852		09 10 1990
cpa	5,065,277	11 12 1991	Davidson	361 383		07 13 1990
cpa	5,068,708	11 26 1991	Newman	357 68		10 02 1989
cpa	5,086,018	02 04 1992	Conru, et al	437 207		05 02 1991
cpa	5,099,393	03 24 1992	Bentlage, et al	361 413		03 25 1991
ga	5,107,328	04 21 1992	Kinsman	357 74		02 13 1991
ga	5,108,553	04 28 1992	Foster, et al	205 125		09 25 1989
ga	5,128,831	07 07 1992	Fox, III, et al	361 396		10 31 1991
cpa	5,138,434	08 11 1992	Wood, et al	357 74		01 22 1991
ga	5,140,745	08 25 1992	McKenzie, Jr.	29 852		07 23 1990

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

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				Carmen D. Burns	
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**U.S. PATENT DOCUMENTS**

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Cr	5,151,559	09 29 1992	Conru, et al	174 52.4		12 11 1991
Cr	5,155,068	10 1992	Tada	438 125		01 06 1992
Cr	5,159,434	10 27 1992	Kohno, et al	358 80		02 01 1991
Cr	5,168,926	12 08 1992	Watson, et al	165 185		09 25 1991
Cja	5,198,888	03 30 1993	Sugano, et al	257 686		12 20 1990
Cr	5,214,307	05 25 1993	Davis	257 676		07 08 1991
Cr	5,214,845	07 01 1993	King, et al	29 841		05 11 1992
Cr	5,221,642	06 22 1993	Burns	437 207		08 15 1991
Cr	5,222,014	06 22 1993	Lin	361 414		03 02 1992
Cr	5,223,739	06 29 1993	Katsumata, et al	257 676		09 13 1990
Cr	5,231,304	07 27 1993	Solomon	257 684		07 10 1992
Cja	5,236,117	08 17 1993	Roane, et al	228 180. 21		06 22 1992
Cja	5,239,447	08 24 1993	Cotues, et al	361 744		09 13 1991
Cr	5,241,454	08 31 1993	Ameen, et al	361 744		01 22 1992
Cr	5,243,133	09 07 1993	Engle, et al	174 52.4		02 18 1992
Cr	5,266,834	11 30 1993	Nishi, et al	257 706		07 21 1992
Cr	5,273,940	12 28 1993	Sanders	437 209		06 15 1992
Cja	5,279,029	01 18 1994	Burns	29 856		05 11 1993
Cja	5,281,852	01 25 1994	Normington	257 685		12 10 1991

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				<b>FILING DATE</b> 01 16 2001		<b>GROUP</b> 3729			
<b>U.S. PATENT DOCUMENTS</b>									
*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)			
gj	5,307,929	05 03 1994	Seidler	206 331		09 16 1992			
gj	5,311,401	05 10 1994	Gates, Jr., et al	361 760		07 09 1991			
gj	5,313,096	05 17 1994	Eide	257 686		07 29 1992			
gj	5,343,075	08 30 1994	Nishino	257 686		06 22 1992			
gj	5,343,366	08 30 1994	Cipolla, et al	361 785		06 24 1992			
gj	5,367,766	11 29 1994	Burns, et al	29 848		04 05 1993			
gj	5,369,056	11 29 1994	Burns, et al	437 209		03 29 1993			
gj	5,369,058	11 29 1994	Burns, et al	437 209		03 04 1994			
gj	5,371,866	12 06 1994	Cady	395 400		06 01 1992			
gj	5,373,189	12 13 1994	Massit, et al	257 686		07 30 1993			
gj	5,377,077	12 27 1994	Burns	361 704		12 17 1993			
gj	5,384,689	01 24 1995	Shen	361 761		12 20 1993			
gj	5,397,916	03 14 1995	Normington	257 686		07 26 1993			
gj	5,420,751	05 30 1995	Burns	361 707		10 08 1993			
gj	5,426,566	06 20 1995	Beilstein, Jr., et al	361 735		01 04 1993			
gj	5,446,313	08 29 1995	Masuda, et al	257 666		05 24 1993			
gj	5,446,620	08 29 1995	Burns	361 704		10 08 1993			
gj	5,475,920	12 19 1995	Burns, et al	29 856		03 04 1994			
gj	5,479,318	12 26 1995	Burns	361 735		05 12 1995			
gj	5,481,133	01 02 1996	Hsu	257 621		12 29 1994			
gj	5,484,959	01 16 1996	Burns	174 524		12 11 1992			
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>PATENT &amp; TRADEMARK OFFICE</small> <small>(Use several sheets if necessary)</small> <small>(37 CFR 1.98(b))</small>		0254-080	09 761,210	
		APPLICANT	Carmen D. Burns	
		FILING DATE	01 16 2001	
			3729	

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						Yes	No

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(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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